

## **ANNUAL REPORT ON COMPENSATED OUTSIDE PROFESSIONAL ACTIVITIES FOR CALENDAR YEAR 2010: INCUMBENTS IN SENIOR MANAGEMENT POSITIONS**

Attached is the annual report, for calendar year 2010, of compensated Outside Professional Activities (OPA) of members of the Senior Management Group (SMG).

As stated in the Senior Management Group Outside Professional Activities policy (Regents Policy 7707), approved by the Regents in January 2010:

“...Considerable benefit accrues to the University from Senior Management Group (SMG) members’ association with external educational and research institutions, not-for-profit professional associations, federal, state and local government offices and private sector organizations. Such associations foster a greater understanding of the University of California and its value as a preeminent provider of education, research, public service, and health care. Such associations also may provide a stimulus for economic development and enhanced economic competitiveness.”

Consistent with the policy on Senior Management Group Outside Professional Activities, section III.B.3.a.ii states:

“An SMG member will be required to use his/her personal time to engage in compensated Outside Professional Activities, by either performing such activities outside his/her usual work hours or debiting accrued vacation time consistent with applicable leave policy.”

### **Report Highlights**

The attached report reflects the individually certified declarations of every member of the SMG regarding their compensated OPA that occurred in calendar year 2010. SMG members who separated from the University prior to January 1, 2011 and individuals who only served in SMG positions in an acting capacity are not included in this report. Compensated OPA that occurred prior to 2010, or prior to the SMG member’s appointment to their SMG position, are not included in the report. If activities were inadvertently omitted from the 2009 compensated OPA report they would have been included in this report, but there were no such omissions.

Following the individual certification by SMG members, the Chancellors, Lawrence Berkeley National Laboratory Director and the Division and Department Heads of the Office of the President certified their reports as to the inclusion of all SMG members meeting the aforementioned criteria.

Due to the transfer of the academic deans out of the SMG population on January 1, 2010, the population of the report changed from 102 reporting compensated activity in 2009 to 50 reporting compensated activity in 2010. The academic deans will report their OPA on a separate report.

This report as reviewed by the Office of Ethics, Compliance & Audit Services for compliance with policies governing this area, to ensure there are no conflicts of interest, or conflicts of commitment.

**Outside Professional Activity Final Report  
Compensated Activities  
Reporting Year: January 1, 2010 to December 31, 2010  
Location: ALL**

<b>Employee Name</b>	<b>Location</b>		<b>Working Title</b>			<b>Time Served (Hrly)*</b>	<b>Vacation Taken (Hrly)</b>	<b>Cash Comp (\$)</b>	<b>Deferred Comp (\$)</b>	<b>Description Deferred Comp</b>	<b>Other Comp (\$)</b>	<b>Description Of Other Comp</b>	<b>Grant Type</b>	<b># Granted</b>	<b>Strike Price Per Share(\$)</b>	<b>Comments</b>
<b>Name of Org (Prof'l Svc Provided For)</b>	<b>Non-Profit=N Profit=P</b>	<b>Role</b>														
<b>ADLER, JOSHUA S</b>			<b>UCSF</b>			<b>Chief Medical Officer, Medical Center</b>										
Hill Physicians Board	P	Board Member	17	5	\$2,200											
<b>ALIVISATOS, A PAUL</b>			<b>LBNL</b>			<b>Laboratory Director</b>										
Solexant	P	Board Member	72	48	\$50,000							Stock Options	400,000	.05		
Life Technologies, Inc.	P	Advisor	22	16	\$40,000											
American Chemical Society	N	Editor	156	0	\$50,000											
<b>ARVIN, MARTHA</b>			<b>UCLA</b>			<b>Chief Compliance Officer, UCLA Health Sciences</b>										
Society of Corporate Compliance and Ethics	N	Faculty at Compliance Academy	24	24	\$6,000											
Health Care Compliance Association	N	Faculty at Compliance Academy	34	34	\$15,000											
NYSARC, Inc.	N	Speaker at Conference	2	2	\$500											
St John's Health System	N	Consultant	40	24	\$18,835											
University of Toledo Physicians	N	Consultant	2	0	\$500											
<b>BAGGETT, MARGARITA M</b>			<b>UCSD</b>			<b>Chief Patient Care Services Officer, UCSD Medical Center</b>										
American Nurses Credentialing Center	P	Consultant	8	8	\$2,280											
<b>BASRI, GIBOR</b>			<b>UCB</b>			<b>Vice Chancellor - Equity and Inclusion</b>										
Discover Magazine	P	Panelist in Event	3	3	\$1,000											
<b>BIRGENEAU, ROBERT J.</b>			<b>UCB</b>			<b>Chancellor</b>										
Cabot Microelectronics Board	P	Board Member	96	96	\$59,000							Stock	1,500	32.00		
Tanner Foundation / Lectures on Human Values	N	Board Member	24	0	\$2,000					\$4,100	Gift of pen					The Chancellor's participation garners the campus \$45,000; participation on board is a requirement of the Tanner Lectures.
<b>BLUESTONE, JEFFREY A</b>			<b>UCSF</b>			<b>Executive Vice Chancellor and Provost</b>										
MacroGenics	P	Consultant	0	0	\$12,000											
Protein Design Lab (PDL)	P	Expert Witness	38	24	\$26,672											

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<b>Name of Org (Prof'l Svc Provided For)</b>	<b>Non-Profit=N Profit=P</b>	<b>Role</b>	<b>(Hrly)*</b>	<b>(Hrly)</b>	<b>(\$)</b>	<b>(\$)</b>	<b>(\$)</b>	<b>(\$)</b>	<b>(\$)</b>	<b>(\$)</b>	<b>(\$)</b>					
ESOT-AST Joint Meeting	N	Guest Speaker	8	8	\$1,000											
Univ of Florida-Immunology & Microbiology Rsch Sem	N	Guest Speaker	8	8	\$200											
<b>BRENNER,DAVID ALLEN</b>		<b>UCSD</b>														
<b>Vice Chanc - Health Sci and Dean, School of Medicine</b>																
Alcoholic Beverage Medical Research Foundation	N	Board Member	16	16	\$1,000											
Mirina Corporation	P	Consultant	8	8	\$2,000											
American Assoc for the Study of Liver Disease	N	Board Member and Presenter	32	32	\$500											
Univ of Penn Molecular Studies Conf & Retreat	N	Member	16	16	\$1,000											
<b>BRESLAUER,GEORGE W</b>		<b>UCB</b>														
<b>Executive Vice Chancellor &amp; Provost</b>																
Bellwether Publishers	P	Editor	100	0	\$27,000											
<b>CARLSON,SUSAN L.</b>		<b>UCOP</b>														
<b>Vice Provost</b>																
North Dakota State University Advance Forward Prog	N	External Advisory Board Member	24	24	\$1,000											
<b>CLAYMAN,RALPH V</b>		<b>UCI</b>														
<b>Dean - School of Medicine</b>																
Urology Today	P	Writer	24	0	\$30,000											
Journal of Endourology	P	Co-Editor	100	0	\$30,000											
American Urological Association	N	Lecturer	8	0	\$1,800											
University of Washington	N	Visiting/Invited Lecturer	12	0	\$1,500											
<b>CRICKETTE,GRACE MARGUERITE</b>		<b>UCOP</b>														
<b>CHIEF RISK OFFICER</b>																
SingHealth	N	Enterprise Risk Manager	40	30	\$8,000											
<b>DESMOND-HELLMANN,SUSAN D</b>		<b>UCSF</b>														
<b>Chancellor</b>																
Genentech Scientific Research Board	P	Board Member	12	8	\$15,000											
Proctor and Gamble	P	Board Member	24	24	\$8,333											
<b>DRAKE,MICHAEL V</b>		<b>UCI</b>														
<b>Chancellor</b>																
Gilead Sciences, Inc.	P	Board Member, Health Policy Advisory Board	16	16	\$24,000											\$2,000 per month
Bank of the West	P	Board Member	20	20	\$48,800											\$40,000 per year plus \$1,100 per meeting. Activity began

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California Healthcare Foundation	N	Board Member	19	19	\$10,500								late June 2010. \$27,000 per year. Activity began late June 2010.
Glaucoma Research & Education Group	N	Speaker	2	0	\$779								Cash Comp = \$1,000 honorarium minus \$221.36 in travel expenses.
<b>DURUISSEAU,SHELTON J</b>		<b>UCD</b>											
Medical Board of California	N	Board Member	136	64	\$8,306								
<b>ECONOMOU,JAMES S</b>		<b>UCLA</b>											
Kits Pharms	P	Founder Medical Advisory Board	100	0						Stock	200,000		
<b>FEINBERG,DAVID T</b>		<b>UCLA</b>											
OSI Systems, Inc.	P	Board Member	24	16	\$200,000								
<b>FLEMING,GRAHAM R</b>		<b>UCB</b>											
SLAC Scientific Policy Committee	N	Committee Chairman	50	32	\$1,500								
Institute of Molecular Science Advisory Board	N	Board Member	40	32	\$1,600								
<b>FOX,MARYE ANNE</b>		<b>UCSD</b>											
Boston Scientific	P	Board Member	55	55		\$70,000	Deferred cash			Restricted Stock Units	10,566	6.63	
Red Hat	P	Board Member	14	14	\$40,000	\$70,000	Deferred cash			Restricted Stock Units	1,622	43.14	
W. R. Grace Welch Foundation	P N	Board Member Scientific Advisor	26 16	26 0	\$120,000 \$50,000								
Dreyfus Foundation	N	Board Member	24	16	\$8,000	\$16,500	Deferred cash						Includes 8 hrs to attend a faculty mentoring symposium sponsored by Dreyfus Foundation
e-ARPA (Advanced Research	N	Scientific	16	16	\$2,500								

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Projects Agency) Advisor													
<b>GOSSARD,ARTHUR</b>	<b>UCSB</b>	<b>Former Associate Vice Chancellor for Academic Personnel</b>											
Princeton University	N	External Review Committee Member	16	16	\$2,000								Department of Electrical Engineering
<b>HAYMET,ANTHONY DOUGLAS JOHN UCSB Vice Chancellor-Marine Sciences, Dean of the Graduate School of Marine Sciences &amp; Director Scr</b>													
Pegasus Capital Advisors	P	Strategic Advisor	48	48	\$100,000								
<b>HERRERA SOBEK,MARIA UCSB Associate Vice Chancellor for Diversity, Equity, and Academic Policy</b>													
Ohio University	N	Lecturer	8	8	\$500								
EBSCO Industries	P	Book Editor	16	0	\$2,000								
California State University, Long Beach	N	Workshop Leader	8	0	\$1,500								
Arizona State University	N	External Review Committee Member	16	16	\$500								
University of Oklahoma	N	Manuscript Reviewer	3	0	\$145								
<b>JESTER,PAMELA J UCLA Director, Continuing Education of the Bar</b>													
Alameda County Superior Court	N	Mental Health Hearing Officer; Judge pro-tem	36	40	\$2,200								
<b>JUAREZ,STEVE UCOP Associate Vice President &amp; Director, State Government Relations</b>													
National Assn. Of Counties Financial Serv. Corp.	P	Board Chair	16	16	\$15,000								
<b>KATEHI-TSEREGOUNIS,LINDA UCD Chancellor</b>													
EMAG Technologies, Inc.	P	Board Member and Owner	80	0	\$72,000								
<b>KIMBLE,MARY ANNE UCSF Dean-School of Pharmacy</b>													
Caremark, Pharmacy, and Therapeutics Committee	P	Member	36	36	\$11,700								
Prescription Solutions	P	Member	32	32	\$9,250								
<b>LARET,MARK R UCSF Chief Executive Officer, Medical Center</b>													
Varian Medical Systems	P	Board Member	19	19	\$85,500					Stock Options	5,000	47.58	NOTE: 2,102 restricted stock units to be paid over 3 years.
Nuance Communications	P	Board Member	14	14	\$32,750					Restricted	30,000		NOTE: 30,000

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											Stock Units			restricted stock units to be paid over three years.
<b>LAVERNIA, ENRIQUE J</b>	<b>UCD</b>	<b>Provost and Executive Vice Chancellor</b>												
Elsevier - Materials Science Engineering A	P	Principal Editor		80	0	\$120,000								
University of the Pacific	P	Program Reviewer		8	8	\$3,000								
<b>LAWRENCE, JANE FIORI</b>	<b>UCM</b>	<b>Vice Chancellor- Student Affairs</b>												
College Board	N	Service on College Board Committee		20	24	\$2,000								
<b>LE GRANDE, HARRY</b>	<b>UCB</b>	<b>Vice Chancellor-Student Affairs</b>												
Michigan State University	N	Personal Service Agreement - Consultation		72	24	\$2,000								
<b>LEONARD, THOMAS C</b>	<b>UCB</b>	<b>University Librarian</b>												
University of Miami	N	Speaker		3	0	\$3,000								Honorarium; invitation from Univ of Miami Librarian
<b>LEVEY, GERALD S.</b>	<b>UCLA</b>	<b>Former Vice Chancellor -- Medical Sciences &amp; Dean -- School of Medicine</b>												
Payden and Rygel	P	Board Member		4	0	\$94,000								
<b>MINEAR, MICHAEL N</b>	<b>UCD</b>	<b>Chief Information Officer - UC Davis Health System</b>												
Johns Hopkins University - Bloomberg School	N	Adjunct Faculty		225	16	\$4,800								
<b>PITTS, LAWRENCE HOWELL</b>	<b>UCOP</b>	<b>PROVOST AND SR VP--ACAD AFF</b>												
Defense Attorneys	P	Expert Reviewer for Medicolegal Issues		8	0	\$5,000								
<b>POMEROY, CLAIRE</b>	<b>UCD</b>	<b>Vice Chancellor - Human Health Sciences and Dean - School of Medicine</b>												
Azusa Pacific University	N	Keynote Speaker		14	8	\$500								
CA Science Center	N	Panel Speaker		10	0	\$500								
Sierra Health Foundation	N	Board Member		33	24	\$14,500								
Nat'l Institute of Health - Women's Health	N	Committee Member		50	32	\$603								
Association of Medical	N	Board Member		166	0	\$500								

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<b>Name of Org (Prof'l Svc Provided For)</b>	<b>Non-Profit=N Profit=P</b>	<b>Role</b>														
Colleges Merritt Hawkins	P	Panel Speaker - White Paper		18	8	\$500										
<b>RELYEA,STEVEN</b>		<b>UCSD</b>														
Gemological Institute of America	N	Member Board of Governors		102	56	\$6,000										
<b>RICE,ANN MADDEN</b>		<b>UCD</b>														
U.S. Bank Board of Directors	P	Advisory Director		8	8	\$1,000										
<b>RUE,HENRIETTA ELIZABETH</b>		<b>UCSD</b>														
San Diego State University	N	Professor		48	0	\$4,800										
<b>RUMBERGER,RUSSELL WILLIAM</b>		<b>UCOP</b>														
Service Center at UNC Greensboro	N	Webinar Presenter		2	0	\$1,500										
<b>SCHOTTLAENDER,BRIAN E.</b>		<b>UCSD</b>														
Online Computer Library Center	N	Board Member		45	16	\$4,212										
<b>SIMON,HORST D</b>		<b>LBNL</b>														
CRC Press	P	Consultant		20	0	\$1,500										
Gerson Lehman Group	P	Consultant		10	0	\$1,000										
<b>SPIRITUS,EUGENE</b>		<b>UCI</b>														
Local Community Hospitals	P	Lecturer at Grand Rounds		2	0	\$1,100										
<b>VACCA,SHERYL JEANNE</b>		<b>UCOP</b>														
Health Care Compliance Association (HCCA) & SCCE	N	Lecturer		60	60	\$30,000										
<b>WALSHOK,MARY LINDENSTEIN</b>		<b>UCSD</b>														
Girard Foundation	N	Board Member		8	0	\$5,000										
<b>WASHINGTON,A. EUGENE</b>		<b>UCLA</b>														
Robert Wood Johnson Foundation	N	Board Member		72	72	\$28,000										
The California Wellness Foundation	N	Board Member		40	40	\$23,375										
California Healthcare Foundation	N	Board Member		8	8	\$8,750										
<b>WAUGH,SCOTT L</b>		<b>UCLA</b>														
World Book Editorial Review Board	P	Advisor		20	0	\$2,500										

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<b>WU DRAGUN,DIANA</b>	<b>UCB</b>	<b>Dean-University Extension</b>											
University Professional & Continuing Educ Assoc	N	Board Member, National Conf Planning Committee	40	0				\$200	Gift Card				
<b>YANG,HENRY T.</b>	<b>UCSB</b>	<b>Chancellor</b>											
American Axle and Manufacturing, Inc.	P	Board Member	76	76	\$66,450	\$79,632	Deferred Compensation Unit Award Payment for 2009 Grant						\$70,000 Target DCU Grant dated April 29, 2011. Vested on April 29, 2011. Deferred 75% of payment.
<b>YEARY,FRANK D.</b>	<b>UCB</b>	<b>Vice Chancellor</b>											
Intel Corporation	P	Board Member	86	86	\$75,000					Restricted Stock Units	7,875		3,500 OSU also granted
<b>YUDOF,MARK GEORGE</b>	<b>UCOP</b>	<b>President of the University</b>											
Lumina Foundation for Education	N	Board Member	128	72	\$25,500								\$15,000 Foundation donation to non-profit(s) designated by Board member; \$44,250 Foundation matching donation to non-profits(s)

\* Time Served includes hours performed both during normal business hours and non-business hours. In cases where the SMG member performed work during non-business hours, hours reported under Time Served will not equal hours reported under Vacation Taken.